





UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE

Washington, D.C. 20231 Www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/974,753

10/09/2001

Alan J. Schroit

UTSC:594USD1

CONFIRMATION NO. 8205

Date Mailed: 11/29/2001

FORMALITIES LETTER

OC000000007137790

Mark B. Wilson FULBRIGHT & JAWORSKI L.L.P. Suite 2400 600 Congress Avenue Austin, TX 78701



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY OF PAPERS ORIGINALLY FILED



PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:
Alan J. Schroit

Serial No.: 09/974,753

Filed: October 9, 2001

For: METHODS AND COMPOSITIONS FOR INDUCING AUTOIMMUNITY IN THE

TREATMENT OF CANCERS

Group Art Unit: 1642

Examiner: Unknown

Atty. Dkt. No.: UTSC:594USD1/MBW

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D. 20231, on the date below.

January 29, 2002 /

Date

Mark B. Wilson

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 C.F.R. 1.53(b)

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated November 29, 2001, there are enclosed herewith:

- (a) Our check in the amount of \$435.00 to cover the basic filing fee (\$370.00 utility small entity); surcharge for late filing (\$65.00); and
- (b) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under37 C.F.R. 1.53(b)-Filing Date Granted.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10110355/MBW.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

Mark B. Wilson Reg. No. 37,259

Attorney for Applicant

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

January 29, 2002

/ m



Sector of

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Mark B. Wilson

BOX MISSING PARTS

Commissioner for Patents Washington, DC 20231

RE:

U.S. Patent Application No. 09/974,753 entitled "METHODS AND COMPOSITIONS FOR INDUCING AUTOIMMUNITY IN THE TREATMENT OF CANCERS" - Alan J. Schroit (Client reference: MDA97-044DIV1)

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed November 29, 2001;
- (2) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b)-Filing Date Granted;
- (3) Check in the amount of \$435.00; and
- (4) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

Commissioner for Patents January 29, 2002 Page 2

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10110355/MBW.

Respectfully submitted,

Mark B. Wilson Reg. No. 37,259

MBW/mar

Encl: as noted